





Docket No.: N9450.0015/P015 (PATENT)

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Masaya Kojima, et al.

Application No.: 09/852,029

Filed: May 10, 2001 For: CAPILLARY ARRAY ELECTROPHORESIS

APPARATUS AND METHOD OF SEPARATING AND ANALYZING

SPECIMEN

Group Art Unit: 1741

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts Commissioner for Patents

Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed July 9, 2001, Applicant respectfully submits a Combined Declaration and Power of Attorney and an Assignment.

Our check in the amount of \$170.00 covering the fees set forth in 37 CFR 1.16(e) and 1.21(h) are enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. N9450.0015/P015. A duplicate copy of this paper is enclosed.

Dated: August 27, 2001

Respectfully submitted,

Mark J. Thronson

Registration No.: 33,082

DICKSTEIN SHAPIRO MORIN &

OSHINSKY LLP

2101 L Street NW

Washington, DC 20037-1526

(202) 775-4742

Attorneys for Applicant





Please type a plus sign inside this box

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| , Olider the raperwork | | | | | | | |
|--|---|--|--|--|--|--|--|
| DE | | | Application Number | 09/852,029 | | | |
| TRANSMITTAL FORM (to be an for all correspondence after initial filing) Total Number of Pages in This Submission | | | Filing Date | May 10, 2001 Masaya Kojima 1741 | | | |
| | | | First Named Inventor | | | | |
| | | | Group Art Unit | | | | |
| | | | Examiner Name | Not Yet Assigned | | | |
| | | | Attorney Docket Number | N9450.0015/P015 | | | |
| | | | SURES (check all t | hat apply) | | | |
| X Fee Transmittal Form X Fee Attached Amendment/Reply After Final Affidavits/declar Extension of Time Re Express Abandonme Information Disclosur Certified Copy of Pric Document(s) X Response to Missing Incomplete Application Response to Munder 37 CFR | equest nt Request re Statement prity parts/ on Missing Parts 1.52 or 1.53 | Petition Petition to C Application Power of Att Change of C Terminal Di Request fo CD, Number | elated Papers Convert to a Provisional corney, Revocation correspondence Address isclaimer or Refund er of CD(s) | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter X Other Enclosure(s) (please identify below) Declaration and Power of Attorney | | | |
| | | | ICANT, ATTORNEY, OR | AGENT | | | |
| Firm DICK or Mark Individual Name | DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson, Reg. No. 33,082 | | | | | | |
| Signature / | W4_ | 70 | | | | | |
| Date Augu | ıst 27, 2001 | | | | | | |

PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known 09/852,029 Application Number May 10, 2001 Filing Date Masaya Kojima First Named Inventor Not Yet Assigned Examiner Name 1741 Group Art Unit N9450.0015/P015

| TOTAL AMOUNT OF PAYMENT (\$) 170.00 | | Attorney Docket No. N9450.0013/F013 | | | | | |
|---|-------------|--|-------------|-------------|---|----------|--|
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | |
| The Commissioner is hereby authorized to charge | 3. A | 3. ADDITIONAL FEES | | | | | |
| indicated fees and credit any overpayments to: | | Large Entity Small Entity | | | | Fee | |
| Deposit Account 04-1073 Number | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Paid | |
| Deposit | 105 | 130 | 205 | 65 | Surcharge – late filing fee or oath | 130.00 | |
| Account Name | 127 | 50 | 227 | 25 | Surcharge – late provisional filing fee or cover sheet. | | |
| X Charge Any Additional Applicant claims small entity status. See | 139 | 130 | 139 | 130 | Non-English specification | | |
| Fee Required Under entity status. See 37 CFR 1.16 and 1.17 37 CFR 1.27 | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | | |
| | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 2. X Payment Enclosed X Check Credit Card Money Order Other | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| 1. BASIC FILING FEE | 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| Large Entity Small Entity | 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| Fee Fee Fee Fee Description Fee Paid | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | | |
| Code (\$) Code (\$) 101 710 201 355 Utility filing fee | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | <u> </u> | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 107 490 207 245 Plant filing fee | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | ļ | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | | |
| 114 150 214 75 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | | |
| SUBTOTAL (1) (\$) | 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | | |
| 2. EXTRA CLAIM FEES Extra Fee from Claims below Fee Paid | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | | |
| Total Claims -** = X = | 143 | 440 | 243 | 220 | Design issue fee | ļ | |
| Independent x = x | 144 | 600 | 244 | 300 | Plant issue fee | | |
| Claims | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| Multiple Dependent | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| Large Entity Small Entity | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Fee Fee Fee Fee Fee Description | | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 | |
| 103 18 203 9 Claims in excess of 20 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 102 80 202 40 Independent claims in excess of 3 104 270 204 135 Multiple dependent claim, if not paid | 149 | 710 | 249 | 355 | For each additional invention to be examined (37CFR 1.129(b)) | | |
| ** Reissue independent claims | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE | · | |
| 109 80 209 40 over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| ** Reissue claims in excess of 20 and over original patent | Oth | Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see above |] ·R | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170.00 | | | | | |
| | | Complete (if applicable) | | | | | |
| SUBMITTED BY | | gistration orney/Age | | 3.082 | Telephone (202) 775-474 | 2 | |
| Name (print/type) Mark J. Thronson | -, | Date August 27, 20 | | | | | |





United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

ATTORNEY DOCKET NUMBER FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE N9450.0015/P015 09/852,029

Masaya Kojima 05/10/2001

Date Mailed: 07/09/2001

CONFIRMATION NO. 4631

FORMALITIES LETTER

OC000000006269668*

Mark J. Thronson

2101 L Street NW

Washington, DC 20037-1526

OTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

• The balance due by applicant is \$ 130.

DICKSTEIN SHAPIRO MORIN & OSHINSKY_LL

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/28/2001 SSESHET 00000100 09852029